

Fluxional Molecules

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Synthesis of Barbaralones and Bullvalenes Made Easy by Gold Catalysis

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Abstract: The gold(I)-catalyzed oxidative cyclization of 7-ethynyl-1,3,5-cycloheptatrienes gives 1-substituted barbaralones in a general manner, which simplifies the access to other fluxional molecules. As an example, we report the shortest syntheses of bullvalene, phenylbullvalene, and disubstituted bullvalenes, and a readily accessible route to complex cage-type structures by further gold(I)-catalyzed reactions.

Fluxional molecules, such as barbaralone (**1a**), bullvalone (**2a**), and bullvalene (**3a**) have been central to the understanding of the phenomena of valence tautomerism (see Figure 1).^[1,2] These molecules undergo low energy [3,3]-sigmatropic rearrangements, which in the case of bullvalene

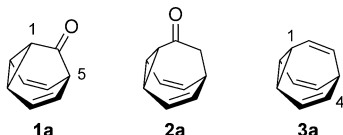
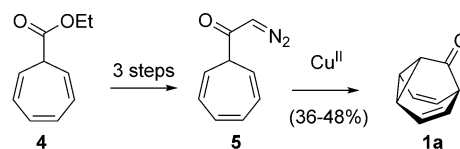


Figure 1. Barbaralone (**1a**), bullvalone (**2a**), and bullvalene (**3a**).

lead to 1209600 degenerate tautomers,^[3–5] whereas a lower number of constitutional isomers are possible for substituted bullvalenes^[6–8] and only two exist for barbaralone (**1a**).^[9]

Syntheses of these fluxional molecules requires multistep procedures that proceed with low overall yield, often using explosive and toxic diazomethane. Thus, the optimized synthesis of barbaralone (**1a**), en route to bullvalene (**3a**),^[10] starts with the Büchner reaction of ethyl diazoacetate with benzene to form **4**,^[11,12] which is converted into **1a** in four steps via diazomethyl ketone **5** (Scheme 1).^[10] Bullvalene (**3a**) can be prepared from **1a** in four additional steps by two different procedures by homologation of **1a** to bullvalone (**2a**) with diazomethane.^[2,10] Barbaralone (**1a**) has also been prepared from (cyclooctatetraene)tricarbonyliron in two steps in approximately 36% yield.^[13]



Scheme 1. Synthesis of barbaralone (**1a**) from ethyl cyclohepta-2,4,6-triene-1-carboxylate (**4**).

1-Methylbarbaralone (**1w**) was prepared by a procedure similar to that shown in Scheme 1 using ethyldiazomethane in the reaction with cycloheptatriene carbamoyl chloride to form the homologue of **5**.^[9b] Although some ingenious syntheses of highly substituted bullvalenes have been designed,^[8] most bullvalenes have been prepared from parent **3a**. Thus, for example, phenylbullvalene (**3b**) was obtained in three steps (26% yield) from **3a** by dibromination, dehydrobromination with KO^tBu, and reaction of the resulting bromobullvalene with Ph₂CuLi.^[7d]

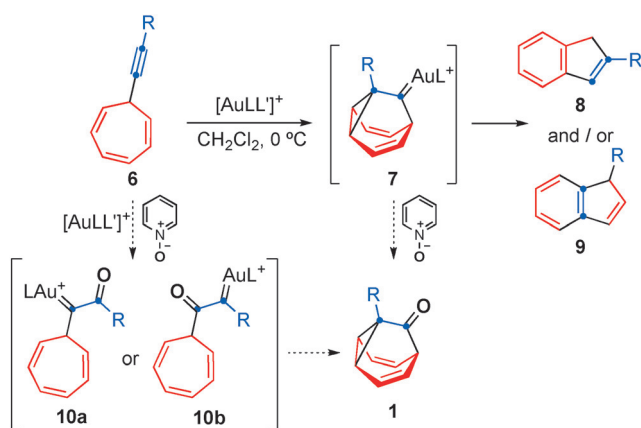
Current synthetic art does not allow preparation of substituted barbaralones in a general way,^[14] which limits the access to fluxional homologues and other theoretically interesting molecules.^[12,15] We have recently found that 7-aryl-1,3,5-cycloheptatrienes undergo a gold(I)-catalyzed retro-Büchner reaction to form highly reactive aryl gold(I) carbenes (a decarbenation reaction).^[16] However, 7-ethynyl-1,3,5-cycloheptatrienes (**6**) react differently to form fluxional barbaralyl gold(I) intermediates **7**; after a series of complex rearrangements **7** finally leads to indenenes **8** and/or **9**, depending on the gold catalyst (Scheme 2).^[17] Since the gold-catalyzed oxidation of alkynes has been shown to take place readily with oxidants such as sulfoxides, or amine-*N*-oxides to form α -oxo gold(I) carbenes,^[18,19] we envisioned that the oxidation of intermediates **7** could lead to 1-substituted barbaralones **1** (Scheme 2). However, if the oxidation takes place directly on 7-ethynyl-1,3,5-cycloheptatrienes (**6**), the two regioisomeric α -oxo gold(I) carbenes **10a** and **10b** would be formed,^[18] of which only **10b** would lead to barbaralones **1** by intramolecular cyclopropanation.

Herein, we report a general and straightforward synthesis of 1-substituted barbaralones **1** from alkynes and commercially available tropylium tetrafluoroborate in just two steps by oxidative cyclization of 7-ethynyl-1,3,5-cycloheptatrienes.

We first studied the reaction of 7-(phenylethynyl)cyclohepta-1,3,5-triene (**6b**) with different gold(I) catalysts in the presence of diphenylsulfoxide (**Ox₁**), and the *N*-oxides of pyridine (**Ox₂**), 3,5-dichloropyridine (**Ox₃**), or 8-methylquinoline (**Ox₄**) as oxidants (Table 1). Using Johnphos gold(I) complex **A** in combination with **Ox₃**, 1-phenylbarbaralone

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Scheme 2. Two different pathways for the formation of barbaralones **1** by gold(I)-catalyzed oxidative cyclization of 7-ethynyl-1,3,5-cycloheptatrienes (**6**).

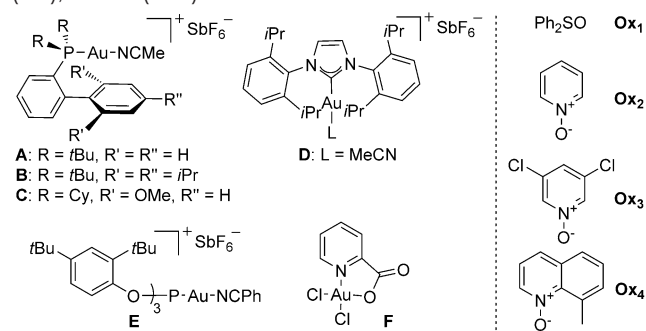
(**1b**) was obtained in 50% yield, together with 2-phenyl-1*H*-indene (**8b**; Table 1, entry 3). Related gold(I) complexes **B** and **C** led to **1b** in lower yields in the presence of Ox_3 (Table 1, entries 5 and 6). The best yields of **1b** were obtained using $[\text{IPrAu}(\text{MeCN})][\text{SbF}_6]$ (**D**) and either Ox_1 or Ox_3 (Table 1, respectively entries 7 and 9). Oxidants Ox_2 and Ox_4 led to very poor results (Table 1, respectively entries 8 and 10). Interestingly, whereas phosphite gold(I) complex **E** afforded low yields (Table 1, entries 11 and 12), pycolate gold(III) complex provided **1b** in a similar yield in the presence of Ox_3 (Table 1, entry 14). Reactions of alkynes with pyridine-*N*-oxides can also be performed with Brønsted acids^[20] or Zn^{II} catalysts.^[21] However, in our system, a complex reaction mixture was obtained in the presence of methanesulfonic acid (Table 1, entry 15) and starting material was recovered when $\text{Zn}(\text{OTf})_2$ was used as the catalyst (Table 1, entry 16).

To assess the generality of this oxidative cyclization, various 7-ethynyl-1,3,5-cycloheptatrienes (**6a–v**) were prepared^[17] and the combinations of catalyst and oxidant that provided the best results in the preliminary studies (conditions: A) cat. **D**, Ox_1 ; B) cat. **D**, Ox_3 ; C) cat. **A**, Ox_3) were tested on these substrates (Table 2). The most simple substrate, 7-ethynyl-1,3,5-cycloheptatriene (**6a**), produced barbaralones (**1a**) in 97% yield. The reaction was compatible with 7-(arylethynyl)-1,3,5-cycloheptatrienes **6c–o** bearing different *o*-, *m*-, or *p*-substituents on the phenyl ring, such as methyl-, *tert*-butyl-, fluorine-, chlorine-, methoxy-, or trifluoromethyl-, affording the corresponding 1-substituted barbaralones (**1c–o**) in moderate to good yields. Substrates **6p–q**, possessing a naphthyl or a thiophenyl substituent, gave barbaralones **1p–q** in yields up to 88%. This catalytic procedure was extendible to alkynyl cycloheptatrienes with aliphatic or alkyl groups (**6s–v**), including *n*-butyl, *n*-hexyl, 2-phenylethyl, and cyclopropyl, giving the desired barbaralones **1s–v** in moderate to excellent yields. The reaction was also applicable to a substrate containing two alkynes (**6r**), affording dibarbaralones **1r** in 60% yield. The process was efficiently scalable, providing up to 850 mg of barbaralones (**1a**) in one run in 96% yield. Mechanistically, the formation of aryl substituted barbaralones **1b–r** strongly suggests that

Table 1: Gold(I)-catalyzed oxidative reaction of **6b** to give 1-phenyl-barbaralones (**1b**).

Entry	[Cat.]	Oxid.	Time [h]	1b Yield [%] ^[a]	8b Yield [%] ^[a]
1	A	Ox_1	2.5	12	58
2	A	Ox_2	16	5	—
3	A	Ox_3	2.5	50 (50) ^[b]	28
4	A	Ox_4	16	23	—
5	B	Ox_3	3	30	36
6	C	Ox_3	3	32	42
7	D	Ox_1	3	(83) ^[b]	—
8	D	Ox_2	24	2	—
9	D	Ox_3	3	64	—
10	D	Ox_4	3	7	—
11	E	Ox_1	2.5	20	—
12	E	Ox_3	2.5	30	—
13	F	Ox_1	5	14	61
14	F	Ox_3	5	61	—
15	$\text{MeSO}_3\text{H}^{[c]}$	Ox_3	2.5	complex mixture	
16	$\text{Zn}(\text{OTf})_2^{[d]}$	Ox_3	24	starting material	

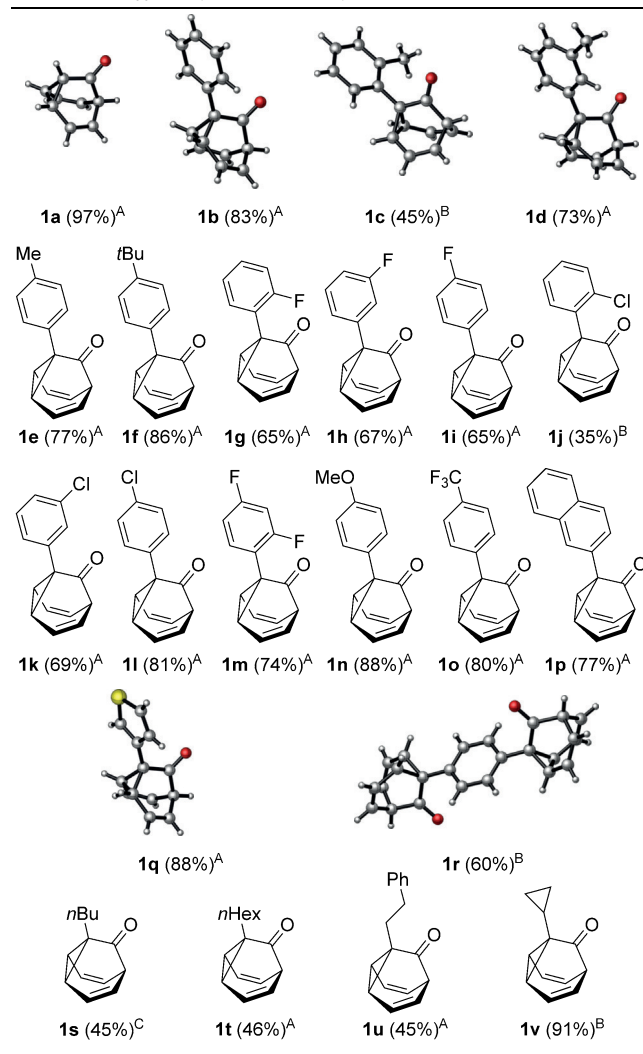
[a] Yields determined by ^1H NMR using mesitylene as an internal standard. [b] Yield of isolated products. [c] 4 equiv [d] 10 mol %. Catalyst (cat.), oxidant (oxid.).



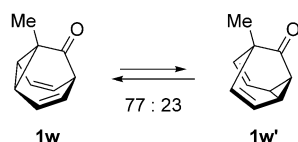
the oxidation takes place on barbaralyl gold(I) intermediates **7** (Scheme 2), rather than on the alkyne, which would favor formation of unproductive intermediate **10a** by benzylic oxidation.^[18]

The introduction of a methyl substituent was found to shift the sigmatropic equilibrium toward the 1-substituted isomer (Scheme 3).^[6c,9b] Our NMR data show that 1-substituted barbaralones are in all cases the most stable tautomers, as confirmed by the X-ray diffraction structures of **1a–d**, **1q**, and **1r** (Table 2).^[22]

With the synthesis of barbaralones (**1**) in hand, and the preparation of bullvalenes (**3**) in mind, we examined the applicability of this oxidative cyclization for the synthesis of bullvalones (**2a**) using propargyl cycloheptatriene as substrate (**11**). Thus, the reaction of propargyl cycloheptatriene (**11**) with different gold(I) catalysts in the presence of the previously employed oxidants was investigated (Table 3). However, instead of the desired bullvalone, arising from a

Table 2: Gold(I)-catalyzed oxidative synthesis of barbaralones **1a–v**.

Conditions: A) cat. **D**, **Ox**₁; B) cat. **D**, **Ox**₃; C) cat. **A**, **Ox**₃.

**Scheme 3.** Equilibrium between 1-methyl- and 5-methylbarbaralones.^[9b]

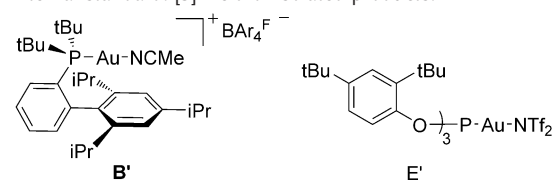
5-*endo-dig* oxidative cyclization, in all cases we observed the recovered starting material or formation of 1-formylbarbaralane (**12**),^[22] the product of a 5-*exo-dig* process. While Johnphos gold(I) complex **A** gave poor results regardless of the oxidant used (Table 3, entries 1–4), good yields were obtained with *t*BuXPhos gold(I) catalyst (**B'**) and [IPrAu(MeCN)]SbF₆ (**D**) with **Ox**₁ (Table 3, entries 5 and 8). A better yield of aldehyde **12** was obtained using phosphite gold(I) complex **E'** and **Ox**₁ (Table 3, entry 10).

At this stage we considered accessing bullvalenes (**3**) via barbaralones (**1**) en route to bullvalenes (**3**). Homologation of **1a** with diazomethane has been reported to give bullvalone

Table 3: Gold(I)-catalyzed oxidative reaction of propargyl cycloheptatriene (**11**) to give 1-formylbarbaralane (**12**).

Entry	[Au]	Oxid.	Time [h]	12 Yield [%] ^[a]
1	A	Ox ₁	18	27
2	A	Ox ₂	15	—
3	A	Ox ₃	18	5
4	A	Ox ₄	15	—
5	B'	Ox ₁	17	91 (87) ^[b]
6	B'	Ox ₃	17	7
8	D	Ox ₁	4	90 (87) ^[b]
9	D	Ox ₃	18	8
10	E'	Ox ₁	6	92
11	E'	Ox ₃	24	—

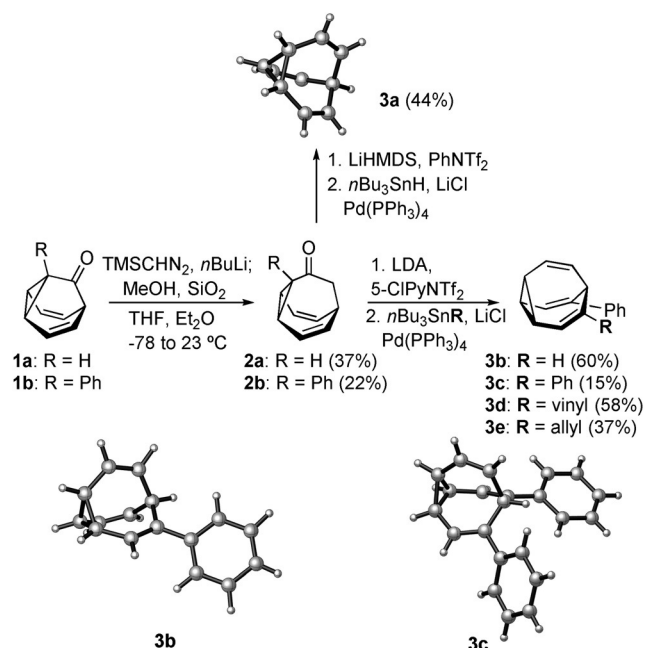
[a] Yields determined by ¹H NMR spectroscopy using mesitylene as an internal standard. [b] Yield of isolated products.



(**2a**) in 24 % yield along with an isomeric aldehyde (34 %).^[2,10] Reduction of **2a** followed by acetylation led to the corresponding acetate (40 %, two steps), which was pyrolyzed at 345 °C to give a 1:1 ratio of bullvalene (**3a**) and *cis*-9,10-dihydronaphthalene.^[2,23] An improved procedure was reported via bullvalone tosylhydrazone, providing bullvalene (**3a**) in approximately 5 % yield from **2a** in four steps.^[10,24]

In our new approach, bullvalene (**3a**) and phenylbullvalene (**3b**) were prepared from barbaralones **1a–b** by a three-step procedure. A homologation reaction of **1a** and **1b** with the lithium anion of (trimethylsilyl)diazomethane^[25] gave bullvalones **2a** and **2b** in 37 and 22 % yield, respectively (Scheme 4). Formation of the corresponding enol triflates using LDA and Comins' reagent, or LiHMDS and PhNTf₂ followed by immediate reduction with *n*Bu₃SnH and Pd(PPh₃)₄ as catalyst,^[26] afforded **3a** and **3b**^[27] in 44 % and 60 % yield, respectively, whose structures were confirmed by X-ray diffraction.^[22] This new synthesis of bullvalene (**3a**) is the most efficient to date as it requires a total of five steps (10 % overall yield) from commercially available tropylium tetrafluoroborate and ethynyl magnesium bromide.

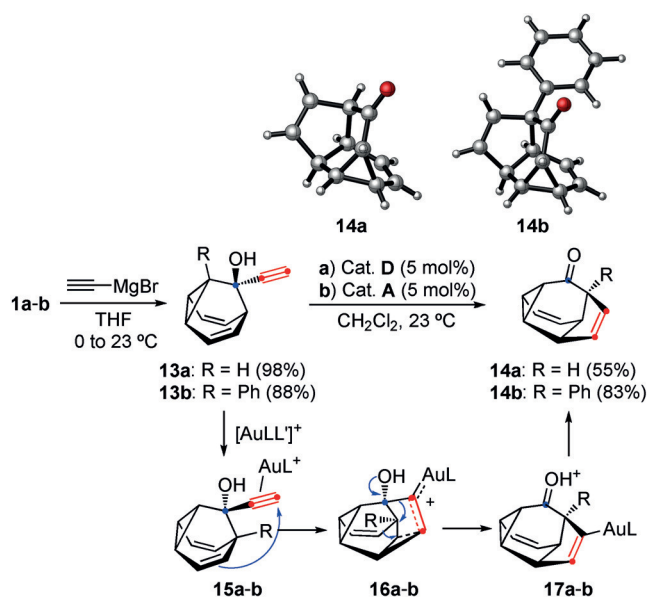
Various disubstituted bullvalenes **3c–e** were also prepared from phenyl bullvalone (**2b**) through sequential formation of the enol triflate followed by Stille couplings (Scheme 4).^[28] The molecular structure of diphenyl bullvalene **3c** was determined by X-ray diffraction.^[22] Bullvalenes **3c–e** were in equilibrium with the 3,6- and 3,7-disubstituted isomers at –40 °C; the observed ratios of the respective compounds was 7.6:5.7:1, 5.2:1.5:1, and 3.8:2.3:1.^[7d]



Scheme 4. Synthesis of bullvalene (**3a**), phenylbullvalene (**3b**), and disubstituted bullvalenes **3c–e**.

Barbaralones **1a** and **1b** were converted into **14a** and **14b** in two steps by the addition of ethynyl magnesium bromide and subsequent gold(I)-catalyzed reaction of the corresponding alcohols **13a** and **13b**, which proceeded by a new type of cyclization/rearrangement (Scheme 5). Structures **14a** and **14b** were confirmed by X-ray diffraction.^[22]

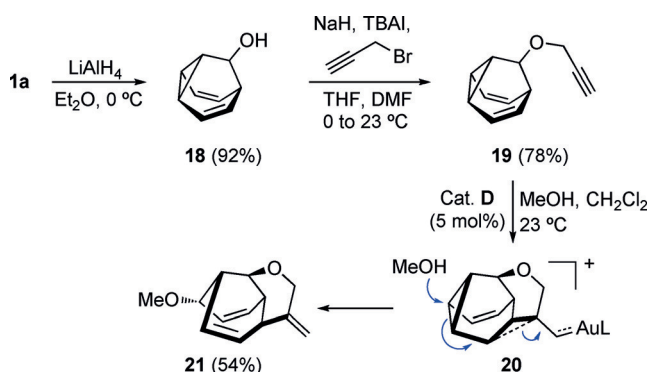
Unprecedented tetracyclic cages **14a** and **14b** are probably formed by coordination of gold(I) of the alkyne of the minor tautomer of **13a** and **13b** to give **15a** and **15b**, followed by intramolecular attack of the alkene to form delocalized



Scheme 5. Preparation of highly fused tetracyclic molecules **14a** and **14b**.

intermediates **16a** and **16b**^[29,30] and semipinacol-type rearrangement to give **17a** and **17b** (Scheme 5). To the best of our knowledge, and despite the many different types of gold(I)-catalyzed cycloisomerizations,^[30] this formation of a five-membered ring by cyclization-rearrangement is unprecedented.

Furthermore, alkylation of barbaralol **18**^[22] with propargyl bromide gives 1,7-enyne **19**, which undergoes an *exo-dig* cyclization with gold(I) catalyst **D** to form intermediate **20**,^[30] which then reacts with methanol as a nucleophile to form tricyclic system **21** (Scheme 6).



Scheme 6. Formation of tricyclic derivative **21** from barbaralol (**18**).

In summary, we have developed an efficient synthesis of 1-substituted barbaralones by gold(I)-catalyzed oxidative cyclization of 7-(substituted ethynyl)-1,3,5-cycloheptatrienes. This method has allowed accomplishment of the shortest syntheses of bullvalene and other substituted bullvalenes. Thus, parent bullvalene (**3a**) is obtained in five steps from commercially available starting materials in 10% overall yield, which compares favorably with previous procedures that require nine or more steps and proceeded with very low overall efficiency. The straightforward access to barbaralones opens a way to obtain complex cage systems with unprecedented molecular architectures.

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Keywords: barbaralones · bullvalenes · cyclization reactions · gold · valence tautomerism

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